
Implementation/Evaluation of a GEAR UP Intervention:

**GRADUATION COACHING IN A
RURAL DISTRICT SCHOOL**

**2012 Annual Conference of the
American Educational Research Association**

on

**April 17, 2012
Vancouver, BC, Canada**

by

**Pamela J. Zeller
Shelly Carpenter
Warren E. Lacefield
E. Brooks Applegate**

**GEAR UP Learning Centers
Western Michigan University
Kalamazoo, Michigan**

This study has been funded at least in part with Federal funds from the U.S. Department of Education under contract number ED04COOOJ6/0002, Task Order #18. The content of this publication does not necessarily reflect the views or policies of the U.S. Department of Education or RTI International, nor does mention of trade names, commercial products, or organizations imply endorsement by the U.S. Government.

GRADUATION COACHING IN A RURAL DISTRICT SCHOOL

April 17, 2012

**Pamela J. Zeller, Shelly Carpenter,
Warren E. Lacefield, and E. Brooks Applegate**

**GEAR UP Learning Centers
Western Michigan University**

Abstract

The GEAR UP graduation coach intervention developed by the GEAR UP Learning Centers at Western Michigan University (WMU) addresses the issue of academic failure of at-risk students in high school. This personalized early intervention strategy begins by assessing students' unique circumstances, academic histories, and strengths and weaknesses in 9th grade. Coach and student work together, networking with parents and teachers, to establish a plan that will bolster academic achievement. Intensive graduation coaching identifies internal and external resources to ensure the student's success and closely monitors the student's progress. This intervention has been implemented successfully in two urban school settings and results indicated substantial benefits. This study examines a replication of this model in a rural school setting for one year with an incoming 9th grade class. The process involved several phases: program preparation and staff and student selection; implementing the coaching intervention and monitoring progress for informed, data-driven decision-making; and final summative evaluation. Two general questions were of particular research interest. Could students be identified proactively who are likely to benefit from this program? Did students selected as part of the coaching caseload improve their grades? A longitudinal, retrospective baseline study provided matched comparison groups for examining the effects of the intervention treatment. Results show that these graduation coached at-risk students as a group performed academically in core courses almost an entire letter grade better than would have anticipated based on comparative student results from baseline studies. Well over 50% of the treatment students, all of whom were predicted to fail, actually succeeded well enough to be prepared to progress to the next grade level. In this instance, graduation coaching appears to have significant potential in rural as well as urban school to promote student retention, success, and perseverance to graduation.

Objectives

The current study results from a project selected nationally and competitively in 2009 and again in 2010 in dual funding rounds by Research Triangle Institute (RTI) International, for further evaluation as a national GEAR UP (Gaining Early Awareness and Readiness for Undergraduate Programs) Promising Practice (Carpenter & Zeller, 2011). This study documents the impact of placing graduation coaches as a GEAR UP intervention in a high-need rural high school. Three middle school cohorts (8th graders in middle school in 2007, 2008, and 2009) and continuing in high school into and through 2011 served as a comparative baseline. These students received no intervention but were followed through 9th grade and beyond. This study followed a fourth cohort of 8th grade students in 2010 into high school and through 9th grade to evaluate the effectiveness of a graduation coaching intervention designed for at-risk students and tested previously in urban school district settings (Van Kannel-Ray, et al, 2008, 2009; Lacefield, et al, 2010). The overall goal was improvement of students' academic performance and retention, particularly for students not passing core courses.

Perspectives and Theoretical Framework

High school student dropout is a major national problem. On March 1, 2010, addressing the America's Promise Alliance, President Obama emphasized the critical issue of high school dropout rates in our country and stated that increasing graduation rates is a key goal of the administration. The U.S. Department of Education's GEAR UP program addresses this problem through goals that promote graduation and post-secondary education. The GEAR UP program at WMU developed interventions that achieve these goals by helping students at risk of failure succeed academically, thereby increasing the likelihood of graduation and potential enrollment into post-secondary education. One particularly successful intervention that has helped improve student retention in urban high schools is graduation coaching (Van Kannel-Ray, et al, 2009; Lacefield, et al, 2010). The current study looks at a similar program in a rural setting. A goal is to demonstrate that the major components of such programs: (1) selecting students proactively, (2) intervening successfully, and thereby (3) keeping students in school who might otherwise have dropped out beyond 9th and 10th grade; combine to form an effective model that can be replicated in other rural settings across America.

Retaining students - particularly multi-cultural students - in high school and facilitating their graduation encompasses more than an academic approach. As Cobb (1996) discussed, the microcosm of the school influences student learning within a socio-cultural context. Therefore, interventions that focus on understanding and working within such a framework are especially important. Graduation coaches (GC) help students come to grips with changing cognitive structures within a social context, some of which, as Lewin (1995) argued, are culturally embedded. Utilizing that socio-cultural contextual information, GCs help students focus on co-constructing environments in which they understand how their personal worlds and their educational worlds intersect and how they can succeed both socially and academically.

While many interventions have been proposed to mitigate this growing problem, GCs have been shown to be multi-culturally responsive and effective in urban high schools for students who are not passing core courses and who are at-risk of dropping out of school (Cox, 2009). GC represents a structural support within a building/grade level that buffers against the difficult transition between middle and high school. Furthermore GC provides student-level support for the social and behavioral challenges that adolescents face as they transition to adulthood. Providing a positive learning environment within which students can cope with the challenges and barriers in their lives so that they can succeed academically (Lee, 2005) is particularly important for children who live in low-income, rural or urban settings. In this case, the GCs, who are the structural supports, deliberately facilitate academic success for at-risk students.

Study Location, Population, and Research Questions: Over the past decade, according to information from the State of Michigan's Center for Educational Performance and Information (CEPI Michigan Student Data System, 2010), our partner high school in southwestern Michigan has experienced an excessive student drop-out rate exceeding 40 percent. This has been particularly noticeable in GEAR UP project data trends early in 9th grade and continuing through the 10th grade. Students who are promoted to the 11th grade typically complete high school successfully and graduate. For these reasons, an intensive graduation coaching intervention was planned and targeted specifically toward services for incoming 9th grade students who appeared at risk of future academic failure. This was expected to help students increase the number of core

courses passed and improve marking period grades in core subject areas. The intervention was planned to take into account the unique needs of the rural student population, the nature of small communities, and local economic conditions. With a local population of approximately 6,500, the district is in a small, struggling, rural, agricultural community in southwest Michigan with virtually no business or industrial base. The county has a child poverty rate of 25 percent, ranking 25th in child poverty of the 28 similar counties in Michigan. The district has one high school and one middle school with 76 percent of all students are eligible for free and reduced lunch and home to a significant migrant population.

General goals of particular study interest included to what extent:

- *Can students who are likely to benefit from this program be identified proactively?* (based primarily on available longitudinal school data on academic performance and secondarily on data regarding attendance, behavior, and teachers' and other stakeholders' perceptions)
- *Do students selected as part of the coaching caseload improve their grades?* (academic performance patterns begin to slope away from the predicted direction toward failure)

Intervention

Borrowed from health care and social services professions, the graduation coach intervention is relatively new to the educational sector. In medical and human services arenas, case managers have a number of functions that include identifying client needs, developing a plan for helping clients, securing outside services to scaffold the interventions with the client, and periodically reassessing the plan (Piette, et al, 1990). GEAR UP Learning Centers personnel believed that the graduation coach intervention could serve similar functions in middle and high school settings.

The Graduation Coaching Intervention: This intervention began as an academic case management program in feeder middle schools in a participating urban school district for the express purpose of improving student academic achievement. The results of the data analysis for the middle school intervention indicated substantial success (Van Kannel-Ray, et al, 2008, 2009). However, as the GEAR UP student cohorts moved into high school, the middle school model was revised to incorporate strategies appropriate to the needs of high school students.

The developmental needs of high school students required a more personal approach. For instance high school students expect to make their own choices. Students were offered the option of participating in the program. Students worked with the coach to develop an individual Student Plan for academic success. The plan contained a series of questions that assisted the coach in outlining specific interventions for the student, determining who was responsible for each intervention, when the intervention was to take place and its duration. The plan also conveyed intended outcomes so that students, parents, school personnel, and project staff had the necessary information available to them in order to collaborate on behalf of the students involved. Students demonstrated their commitment to their plan with their signature. In middle school, students were able to leave class for coaching when necessary. In high school, appointments were scheduled with students. This approach reinforced the serious nature of the appointment and the intervention. High school teachers appreciated fewer interruptions as students were able to assume responsibility for leaving the classroom to meet with the coach.

Following two years of testing and modification, the elements of a successful high school graduation coaching model emerged (Van Kannel-Ray, et al, 2009). Early evaluation of these pilot study interventions indicated that graduation coaching is a promising practice in high-need urban high schools (Lacefield, et al, 2010). The intervention described here is a modification of the existing urban graduation coaching intervention aligned to the needs of rural schools. Rural schools are smaller, have fewer financial and staff resources, fewer community resources, and generally more transient student populations than larger urban neighbors.

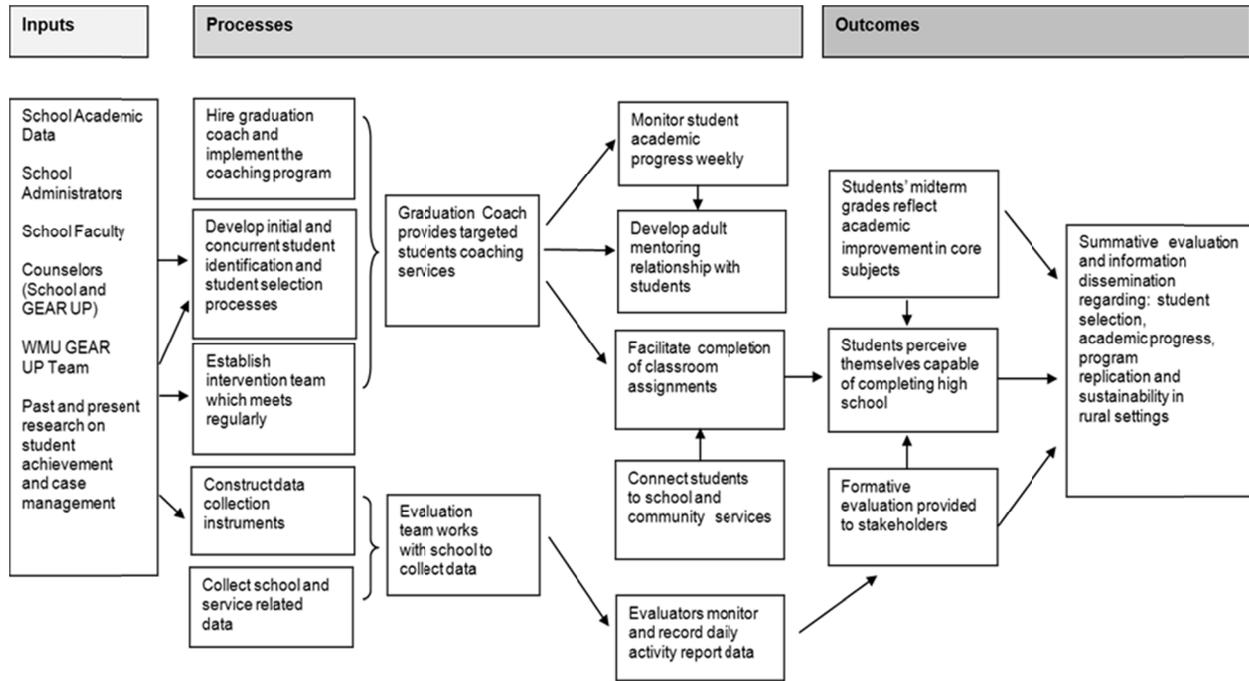
The *Graduation Coaching Logic Model* presented in Figure 1 reflects the graduation coach intervention, its context, inputs, processes, and outcomes. The model begins with a number of specified inputs that designate the people and the sources of information necessary to implement the process. Project staff from WMU, in collaboration with teachers, administrators, and the counseling team from the partner high school, engaged in continued planning, coordination, and formative evaluation activities. The experiences and findings from past studies in urban settings assisted the intervention team plan staffing and identify potential barriers to student identification and program implementation.

Unique to school settings, graduation coaching is a method for uncovering the contextual frameworks that students attribute to their world. Building on that contextual awareness, the coach can help students co-construct viewpoints from which they can understand how their personal world and their educational world intersect (Gergen, 2001). The shared goal is to increase students' academic success in school. The coach assists students to understand concepts being taught, complete classroom assignments, and receive credit for their work. One way of doing this is by providing or arranging tutoring services. It was proposed that the coach would meet once per week at minimum with each student; seek to develop a trusting, respectful, mentoring relationship to help the student help himself or herself; communicate with parents or guardians; keep case records, and meet with teachers as an advocate for the student.

Coaching Outcomes: This intervention strives toward five key outcomes. First, the graduation coach mentors students toward becoming self-sufficient and academically successful. Second, the coach regularly helps failing students come to an understanding of the importance of completing schoolwork. Third, the coaching process begins to foster a culture within a school that focuses on learning and continued academic endeavors beyond high school. Fourth, the coach seeks to empower significant adults in students' lives such as parents, grandparents, and other family members to advocate for their children. Fifth, teachers' respect for the coaching process is earned through visible effects on coached student attitudes and work ethics. Such intervention strategies hold great promise for motivating and encouraging students to become responsible for their own learning. The first outcome was examined quantitatively in this study, while the other outcomes were approached qualitatively.

Figure 1: Program: Graduation Coaching Logic Model.

Situation: Ninth grade students identified at-risk based on longitudinal data from sixth through eighth grade are failing core courses and not on track for graduation.



During the summer of 2010, the coaching position was advertised and a graduation coach was hired at 50% time. This individual was an experienced high school counselor who was also enrolled in the doctoral program in WMU's department of Counseling Education and Counseling Psychology (CECP). Although the graduation coaching intervention has some overlap with counseling and mentoring programs, its primary features focus on 10 key coaching activities for student academic success, as do the quantitative and qualitative indicators used for formative and summative evaluation. In this study, the first two activities were required of the coach for all students and the other activities were offered to every student on the caseload.

- Developing/monitoring/modifying an educational development plan.
- Meeting with students on a weekly basis whenever possible, with additional contact as needed.
- Tutoring or arranging for tutoring services in core subjects.
- Assisting teachers with developing specific interventions/activities/help for their failing students as well as observing classes to understand content in order to better assist students.
- Becoming a liaison between school and home for identified students to encourage academic improvement.
- Connecting students to school services such as after school tutoring, counseling, academic clubs, athletic activities, and organizations.

- Assisting students with organizational skills and study skills.
- Referring students and parents to outside school services to help remove barriers to educational success.
- Monitoring the student's academic progress in all their courses at least weekly.
- Maintaining a student interaction record and dossier for monthly formative and summative activity reporting.

Initial Contact: The graduation coach began the process with an initial student contact. In reviewing the initial contact for each student the coach noted that students were open to conversation and assistance from the coach. Parallel to meeting with students, the coach began reviewing curriculum files and electronic gradebook records for each student on the case load. With the notes from these files, the coach then began to document grades and missing assignments for students. Both the notes from the curriculum files and grades and missing assignments allowed the coach to begin the process of the writing individual student plans. These plans allowed the coach and students to focus on and work through challenges, address specific needs, and celebrate accomplishments.

Periodic Contact and Review: The process continued with a series of conversations between the students and the coach with discussions of what assignments had and had not been completed. The coach shared the tracking of assignments with the students and discussed what was necessary to complete missing assignments. This communication evolved over the course of the study into routine communication with students' teachers and parents or guardians. These communications naturally flowed into discussions concerning barriers to completing assignments posed by social and environmental factors outside school and to behavioral, organizational, and foundational skills needed for success in school. The coach also arranged tutoring sessions during and after school and a series of student incentives including written positive communication to parents and guardians about the students' academic performance.

Data-Driven Decision Making Tools: The graduation coaching intervention examined here depended strongly on three additional tools not previously employed fully in urban settings. A first key objective and research goal for this study was to demonstrate computer based analytical and data visualization programs as effective methods to proactively identify students who (a) appear to be trending successfully in school in terms of academic performance in core courses and subject matter areas such as mathematics, science, language arts, and social studies, as well as students who (b) appear to be at substantial risk of academic failure in these areas.

The first tool was a "classification dashboard" report to help identify students who appear to be at substantial academic risk for failure, what some of those risks are, and who might or did benefit from specific academic support interventions at both the individual and aggregate level. The dashboards utilized a suite of longitudinal data analysis and data visualization tools such as pivot charts built using SAS 9.2 and MS Access 2010 that drew upon readily available school Student Information System (SIS) data sources. This suite allowed the program team to validate the methodology using retrospective studies of previous cohorts of 9th graders in the high school. It also served to identify a subpopulation of the current 2010-2011 cohort of 9th graders who appeared academically at risk. That subpopulation formed the basis for the graduation coaching caseload which became the treatment group in this study. Periodic updates in the form of

individual student dashboards showing on-going academic performance trends provided formative feedback to the coach, school personnel, and program team at the end of each marking period quarter. Lacefield, et.al. (2012) provide extensive discussion of this methodology and examples of how classification and data visualization tracking tools can facilitate educational decision-making at the student level.

The second tool was real-time access to the school electronic grade book software and to current data concerning each student in the caseload. The coach was able to triangulate the information from the electronic grade-book with information gathered from teacher and parent contacts, past academic performance, and with information obtained during meetings with other parties on behalf of the student.

As the third tool, the coach was provided with a modified version of the Excel-based Activity Reporting System (ARS) used by the GEAR UP Learning Centers to monitor and document all service-related project activities. The ARS allowed the coach to maintain electronic case notes and logs and to track number, type, and duration of coaching contacts and activities. This gave the coach management tools for organizing and documenting caseload activity and for planning appropriate student services.

These tools were not simply evaluation add-ons. Their explicit purpose was to increase both the efficiency and the effectiveness of the intervention. That they also provided the basis for an extraordinarily comprehensive embedded system both for formative and summative, quantitative and qualitative evaluation was the additional benefit.

Intervention Challenges: The primary intervention challenges involved working with faculty and administration at the high school to organize support services to meet the individualized needs of students in the caseload. A major challenge was the development and/or identification of appropriate referral programs for individual students. This is a small farming community located in a large rural county. The school district is limited in support services that are easily accessible and convenient for parents. After-school and weekend tutoring programs for 9th grade students with core subject area content, flexible enough to meet individual student needs, were in short supply. The district was required to provide additional support services in high school math and science education in order to meet new requirements recently legislated by the state government. Fortunately, one very important service provided by the GEAR UP project and sustained in both middle and high school by the district was a comprehensive student counseling program. Counseling services were offered and proved valuable for several students who experienced personal difficulties beyond the scope of graduation coaching.

Difficulties communicating with parents were yet another intervention challenge. Parents' work schedules limited the coach's ability to contact them during the day, and in the evening. Some families did not have telephone or internet access. The coach was able to overcome this problem by seeking out parents at school events such as sports or conferences.

Procedural Plan and Research Design

It was anticipated that students who demonstrate a consistent pattern of diminishing academic performance prior to 9th grade, which might lead to failure and drop-out, could be identified and targeted for intensive graduation coach intervention. This student group formed the initial caseload. A favorable outcome would be a leveling or an increase in marking period GPA. This study was designed to achieve the following specific objectives in the initial phase, beginning prior to and with the onset of the coaching intervention:

- **Recruitment:** Implement, demonstrate, and verify an empirical identification/selection process based upon available school data (as well as teacher recommendations and other qualitative sources) that would be replicable in other school settings and that would clearly identify students who appear on a track headed toward academic failure in high school. Utilize this method to identify and recruit students for the coaching caseload.
- **Treatment Intervention:** Implement an appropriate academic coach-based support system for those students identified as at-risk of academic failure that would be guided by real-time process monitoring, formative evaluation, and information feedback.

Classification Methodology: A general method for classification of student academic performance trends (Lacefield, et.al. , 2011) was utilized in this study. In a previous study, it was applied to data regarding three historical cohorts totaling N=273 students from this rural school district who were in 8th grade in 2007, 2008, and 2009. None of these students received academic support services. Two groups were identified: N=100 students who appeared to be on a clear downward trajectory and very much at-risk of academic failure and a peer comparison group of N=173 other students who appeared successful and relatively well prepared for high school. Ninth grade students in the at-risk group continued to plummet, well beyond the GPA < 1.0 point. Approximately 75% of the 8th grade students identified as at-risk of failure did fail in the 9th grade. Likewise, 94% of the students expected to pass did so. These results validate the classification method as an appropriate tool for identifying at-risk students.

Research Design: The sampling plan for this causal-comparative study (Table 1) consisted of the 2010 cohort of 94 previously unclassified students who completed 8th grade in 2009-2010 and were incoming 9th grade students in 2010-2011. Based on statistical analysis of longitudinal performance data, 36 of these students were classified as at-risk and formed the treatment group. During the first quarter, 3 of these students left the school and one student previously not classified as at-risk was reclassified. During the fourth quarter, another student also previously not classified as at-risk was recommended for and received coaching. Thus the sample of students who received coaching during the intervention was 35. Grade data was not available for students who did not complete the 9th grade and they were not included in the final analysis, reducing the treatment group in the study to 32. In addition, 100 of the 273 students in the previous three baseline 8th grade cohorts (2007, 2008, and 2009) were classified as at-risk but never received coaching. These students and their peers not classified as at-risk formed the baseline comparison groups, demonstrating what happens without the coaching intervention.

Table 1: Sampling and experimental design.

			Longitudinal Observation Period												Current Study Period			
Target Study			6th Grade				7th Grade				8th Grade				9th Grade			
Cohort*	TREATMENT GROUP	N	MP1	MP2	MP3	MP4	MP1	MP2	MP3	MP4	MP1	MP2	MP3	MP4	Q1	Q2	Q3	Q4
2010	Peer Comparison	62	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Graduation Coached	32	0	0	0	0	0	0	0	0	0	0	0	0	X*	X*	X*	X*
<i>Historical Baseline Study</i>																		
2007-2009	Peer Comparison	169	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	At-Risk, Not Coached	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
* Year of end of 8th grade																		
															* Graduation coaching intervention			

Treatment and Control Groups: To illustrate how this process works, the marking period GPA in core academic courses was the dependent variable. What GPA means and how valid and reliable such measures are can be argued indefinitely. For purposes of this study, GPA is understood as a measure of the degree to which a student has mastered the content of core academic courses, and has satisfied his or her teacher regarding mastery of a particular content studied within a particular period of time.

Past research shows that academic marking period GPA dips somewhat as the students make the transition from middle to high school (National High School Center transition report, 2007). Students encounter new requirements and responsibilities and pressures in high school. Therefore, the graduation coaching intervention began at the start of the academic year with students selected prior to this point. The selection process was allowed to extend through the first quarter of 9th grade, so that students experiencing difficulties in specific courses or with transition to high school could also take advantage of the graduation coaching intervention.

Both the historical baseline and the treatment groups shared similar demographic and experiential characteristics. Comparative statistical analyses showed no significant group distribution differences for variables such as trend classification (successful or at-risk), gender, ethnicity, special education or economic status. The baseline and treatment groups also shared similar rural community and middle school experiences. As shown in Table 1, the baseline and treatment groups represented the two levels of the factor COHORT in the analytical model. The second factor is that the treatment GROUP had two levels: trending at-risk or trending successful, representing the students who were coached or would have been eligible for coaching; and the peer comparison groups. The third factor is TIME, for data gathered repeatedly for each student over 4 full school years (12 marking periods in 6th through 8th grades and 4 quarters in 9th grade). GROUP is fully crossed with COHORT and both are nested with TIME in the longitudinal research design. The dependent variable of primary interest is grading period GPA. GPA is the average of grades in core academic coursework posted at the end of each grading period from 6th through 9th grade.

Data Collection

The intervention phase of the study began in November 2010, when the graduation coach actively assumed his role within the high school. This phase continued through the end of the academic year, in early June 2011, and for a debriefing period following that point. The academic year covered 173 school days. The graduation coach was employed as a regular, half-

time (.50 FTE) staff member by the school district. He was provided with an office in the high school and access to the electronic grade book and confidential student records. He was supervised by the project director, the evaluation team, and the GEAR UP site staff. Additional oversight was provided by the WMU HSIRB through approved research protocols for GEAR UP Learning Centers projects as well as this study.

During this phase, three types of evaluation data (process, qualitative, and quantitative data) were collected and used continually to guide the intervention and the coach's work. Process evaluation data was gathered using three components of a computer-based Activity Reporting System (ARS) (Carpenter & Zeller, 2011). As described below, *Daily Logs* and *Other Activity Logs* were used to collect process data. *Case Notes* were used to collect qualitative data. Quantitative academic performance data from the SIS was gathered at quarterly grading period points for baseline and current study students. In addition, the coach had access to the school's electronic gradebook, to monitor student progress between grading periods.

Process Evaluation Methods: ARS data provided information for on-going management and accountability. It also was essential for insuring the fidelity of the intervention and, hence, the validity of study assessments. The ARS had several components, each with data validation controls to maintain the accuracy and integrity of the information collected. The first component, the *Daily Log*, served both as a system for recording student service information and as a relational database for real-time information to help the user manage on-going activities and collect data for program monitoring and evaluation. The data set produced by this tool contained:

- Date of service
- Student name
- Student identification number
- Planning time in minutes for the student, parent, and teacher
- Contact time in minutes for the student, parent, and teacher
- Caseload status of the student
- Total number of credit hours for the student
- Type of intervention service for the session

The second component, the *Other Activities Log*, is a system for collecting more general intervention and school-oriented activities as well as a database. The data set produced by this tool contained:

- Date of the activity
- Type of activity
- Intervention category
- Number of participants
- Type of participants
- Time in minutes

Qualitative Evaluation Methods: The third component of the ARS, *Case Notes*, served as a qualitative tool used to delineate individual student services, provide information for on-going assessment of academic progress, collect formative evaluation data, and document and report on the progress of each individual coaching session. The information produced by these tools included:

- The purpose of the session
- The discussion that took place and why
- The results of the session
- The necessary follow-up steps with school personnel and/or parents

In addition to the ARS, as mentioned above, a *Student Plan* was developed at the beginning of the project for each student. The plan contained the following questions that assisted the coach in outlining specific interventions for the student, determining who was responsible for each intervention, when the intervention was to take place and its duration.

- What are the specific needs of the student (i.e. behavioral, academic, attendance)? List and explain each need.
- Who are the individuals who will address these needs? List and identify who these individuals are (i.e. parents, counselors, administrators, teachers, graduation coach etc.).
- How will the individuals in the above list address the needs of the student?
- If necessary, how often will the student meet with these individuals?
- How will performance of the student be tracked or monitored by these individuals (i.e. if seen by a counselor how will improvement be noted)?

Both formative feedback and summative understanding were goals for quantitative and qualitative evaluation of the program to determine the effectiveness of graduation coaching in a rural high school (Zeller & Carpenter, 2011). The instruments described above provided valuable information regarding the impact of the services on students and these were modified and extended to be useful to the coach for record-keeping, to identify student barriers, and for decision-making about provision of specific support services. In addition, the project team held monthly meetings with the coach which helped to identify successes and barriers and to fine-tune the coaching process.

Quantitative Evaluation Methods: The causal-comparative research design employed by this study followed individual students within each group in each cohort from 6th grade into and through 9th grade. The primary data source was SIS records. The primary longitudinal quantitative data stream consisted of marking period/quarter academic performance in core subjects. Benchmark points for data collection, analysis, and information feedback included the end of 8th grade and the prior academic year as well as each quarter of the subsequent 9th grade academic year during which coaching took place.

One property of longitudinal research designs is that individual subjects and intervention groups can serve as their own controls. However, this study is greatly strengthened by the availability and use of data from prior cohorts of students who had very similar educational experiences in

this same school district but never received personalized case management or graduation coaching services. The prior cohorts served as historical baseline studies for comparison against the outcomes of this study.

Analysis and Results

The Activity Reporting System: The ARS provided detailed information regarding the implementation process and the interactions between the graduation coach and the students in the caseload, school personnel, counseling personnel, parents, and outside entities such as community health social services organizations.

The school year began on September 7, 2010, and ended on June 7, 2011. The academic year is 1098 hours. At .50 FTE, the graduation coach was contracted for 549 hours, approximately 87 days. During the months of September and October, the graduation coach met with school administrators and teachers and acclimated himself within the school culture. These pre-intervention activities accounted for approximately 10 days of the coach's time. Following extended contract negotiation, the intervention phase of the study began November 1st, leaving approximately 77 days of the coach's time available for the remainder of the academic year. After adjusting for time spent working with the WMU team, approximately 6 days throughout the year, and 3 days after the end of the academic year, the coach had approximately 68 days available for the intervention. The ARS accounted for 53 days that the coach spent on the intervention. After adjusting for exempt days (holidays, test preparation, testing, conferences etc.) the coach spent approximately 79% of the total time available on the intervention. The balance of his time, 21%, was spent in collaborating with school personnel and program staff and coordinating the team effort.

Table 2 summarizes the data collected by the **Daily Log** for the coaching intervention services, as defined in Table 3, an excerpt from the **Data Dictionary**. The graduation coach collected data for three categories of service: *Consultation*, *Student Plan*, and *Academic Tracking and Support*. Each of the three categories is divided by time, planning time and contact time. Contact time is defined as any communication with or on behalf of students. This time could include face-to-face meetings, telephone conversations, e-mail or text messaging, and mailings. Planning time is the time spent in preparation for contact with students.

As shown in Table 2, the graduation coach spent approximately 34 hours on *Consultation*, 147 hours on the *Student Plan* and 116 hours on *Academic Tracking and Support* for the cohort. The average time spent on behalf of students was approximately 1 hour on *Consultation*, 4 hours on the *Student Plan*, and 4 hours on *Academic Tracking and Support*.

Table 2: *Daily Log* table of graduation coach involvement with students.

Number of Students in Cohort:	35
--------------------------------------	-----------

Consultation				
	Planning	Contact	Total	Average Time
Student	11.67	9.75	21.42	0.61
Parent	1.17	3.47	4.63	0.13
Teacher	1.25	3.13	4.38	0.13
Administrator	-	1.55	1.55	0.04
Counselor	0.33	1.30	1.63	0.05
Total Hours	14.42	19.20	33.62	0.96

Student Plan				
	Planning	Contact	Total	Average Time
Student	32.45	98.25	130.70	3.73
Parent	0.27	1.13	1.40	0.04
Teacher	0.22	10.67	10.88	0.31
Administrator	0.17	2.33	2.50	0.07
Counselor	0.25	1.50	1.75	0.05
Total Hours	33.35	113.88	147.23	4.21

Academic Tracking				
	Planning	Contact	Total	Average Time
Student	67.82	27.42	95.23	2.72
Parent	0.42	1.75	2.17	0.06
Teacher	3.70	7.48	11.18	0.32
Administrator	0.97	3.52	4.48	0.13
Counselor	0.08	2.55	2.63	0.08
Total Hours	72.98	42.72	115.70	3.31

Note:

Average time is the amount of time in hours on behalf of the student

Table 3: Daily Log Data Dictionary.

Services to Students
<p>Consultation: Any type of communication with students, in person, by telephone, e-mail or text; or on behalf of the students with parents, teachers, administrators, counselors.</p> <p>Assist students with issues such as home problems, conflicts with other students or school staff.</p> <p>Contact or provide recommendations for resources, mentoring, tutoring, after-school programs health agencies, social services, etc.</p> <p>Include planning time, such as review of individual Student Plan, electronic grade book, teacher, parent, and counselor comments, coaching history, courses needed to graduate, etc.</p>
<p>Student Plan: The formal plan developed with the student to determine the necessary course of action for academic success. Review the progress on the tasks associated with the Student Plan to determine adjustments as needed.</p> <p>Include planning time, such as review of individual Student Plan, electronic grade book, teacher, parent, and counselor comments, coaching history, courses needed to graduate, etc.</p>
<p>Academic Tracking and Support: Monitor academic progress and work with students to specifically support scholastic achievement geared toward graduation.</p> <p>Assist students in organizing time, managing homework assignments, prioritizing workloads, discussions on assignments, test preparation, reviewing test scores, etc.</p> <p>Include planning time, such as review of individual Student Plan, electronic grade book, teacher, parent, and counselor comments, coaching history, courses needed to graduate, etc.</p>

Table 4 summarizes *Other Activities* data. This shows that the coach was involved in meetings and other activities on at least 63 different occasions with 182 participants. Contacts are defined as any communication with or on behalf of students. This time could include face-to-face meetings, telephone conversations, e-mail or text messaging, and mailings. Table 4 shows the number of contacts with participants, the administrators, teachers, counseling staff, and the evaluation team. The participant contacts focused on school relations, monthly meetings with the evaluation team and other project-related administrative duties.

Table 4: *Other Activities* table of graduation coach involvement with other persons and activities.

Summary Intervention Specialists	Number of Contacts	Number of Participants	Total Time Hours
Consulting with Administrator(s)	28	52	27
Consulting with GEAR UP Counselor(s)	9	17	8
Consulting with School Counselor(s)	1	1	0
Consulting with Teacher(s)	15	51	9
School Relations	3	55	5
Project Administrative Duties	7	6	12
Total	63	182	60

The process and contact distributions correspond to the design of the graduation coaching intervention and are key fidelity features for assessing verisimilitude of the graduation coaching process. The ARS accounted for well over 83% of the coach’s time available to the program. It should be noted that the additional time received by each student from support services personnel to whom students were referred is not included here but represents a truly significant multiplier effect to be explored in other studies.

Qualitative Data Analysis, Findings and Conclusions: A variety of instruments were developed and used to gather qualitative data preceding and throughout the implementation process. Table 5 summarizes qualitative data gathered from the *Case Notes*. These notes were written by the graduation coach after each encounter with the student, teacher, administrator, parent, or other individual pertinent to the student.

Table 5: Coaching activity tallies from graduation coach case logs.

Graduation Coach Activities	Contacts
Initial student contact	35
Prepare student plan	70
Discussion with administrators	37
Discussion with counselors	35
Discussion with evaluation team	6
Discussion with parents	24
Discussion with students	208
Discussion with social workers	3
Discussion with teachers	49
Counsel students	32
Counseling referrals	5
Review grades with students	330
Homework assistance	96
Organizational assistance	25
Testing assistance	18

The process of working on a case-to-case basis with students included much communication with and support from a variety of other individuals who had significant role in supporting the student's academic performance. The number of student contacts, discussions, assistance with homework, testing and reviewing grades, far exceeded other contacts. Contacts are defined as any communication with or on behalf of students. This time could include face-to-face meetings, telephone conversations, e-mail or text messaging, and mailings. The review of grades and missing assignments (630 events) indicates that the coach and students were seriously involved in attempting to remedy issues and raise grades in deficient subject areas. The coach also assisted students with preparation for tests and quizzes. The *Case Notes* indicated that many of these students had problems with organizing for and taking tests. From the case notes, it appeared that the students recognized the benefit of organizational study and test-taking skills.

Case Notes captured a number of events that occurred during coach and student meetings. These notes offered rich details of what took place during one-on-one interactions. Different than the *Daily Log* that captured a meeting with a student, parent, or teacher, *Case Notes* provided detailed descriptions of what took place during these meetings. So one meeting recorded on the *Daily Log* might result in two or more events that took place during that meeting. The coach could, for example, meet with a student and mentor the student, then review grades, and also assist in setting up tutoring sessions or other sources for outside assistance.

Based on student progress, the coach determined how frequently the student needed to meet during the academic year. Likewise, at regular grading period intervals, feedback in the form of individual student classification dashboards helped the coach identify and target specific areas of academic performance that required additional intervention efforts. Collaboration, communication, and cooperation are the hallmarks of any successful school related endeavor, and these were exemplified through this study. Table 5 reflects the number of discussions that the coach had with school personnel, students, parents, and others. The students receiving the coaching assistance benefitted from the many conversations that focused on their wellbeing.

Quantitative Data Analysis:

Three specific research questions examined by this study were:

- Can the increasing gap in academic performance between students at-risk and their successful peers be slowed or reversed by appropriate intensive academic coaching?
- Did at-risk students who were coached do better in terms of average Core GPA in 9th grade than similarly at-risk students who were not coached?
- Was there a difference in the academic performance patterns of the students who were coached and the students who were not coached in GROUPS over TIME? Was the effect in the direction expected (to close the gap and improve at-risk student performance) and did this occur at the time expected (the 9th grade)?

The statistical analysis showed that in this study, the average performance of the peer comparison student group (i.e., those trending successful) was almost flat over time at a performance level of approximately a GPA of 3.0. Prior to 9th grade, the average performance of the at-risk student group had been declining and was approximately a GPA of 1.5 at end of 8th grade. Almost all of the students shown in the at-risk group were predicted to fail in 9th grade.

The actual outcome, however, was much different. The at-risk students who received graduation coaching in 9th grade fared much better than did similar students in the baseline study. In fact, for the students who received graduation coaching, the expected and predicted decline flattened and the average performance at end of 9th grade is close to a GPA of 2.0. This was much better than the performance of similarly at-risk students in the baseline study where nearly 75% failed in 9th grade.

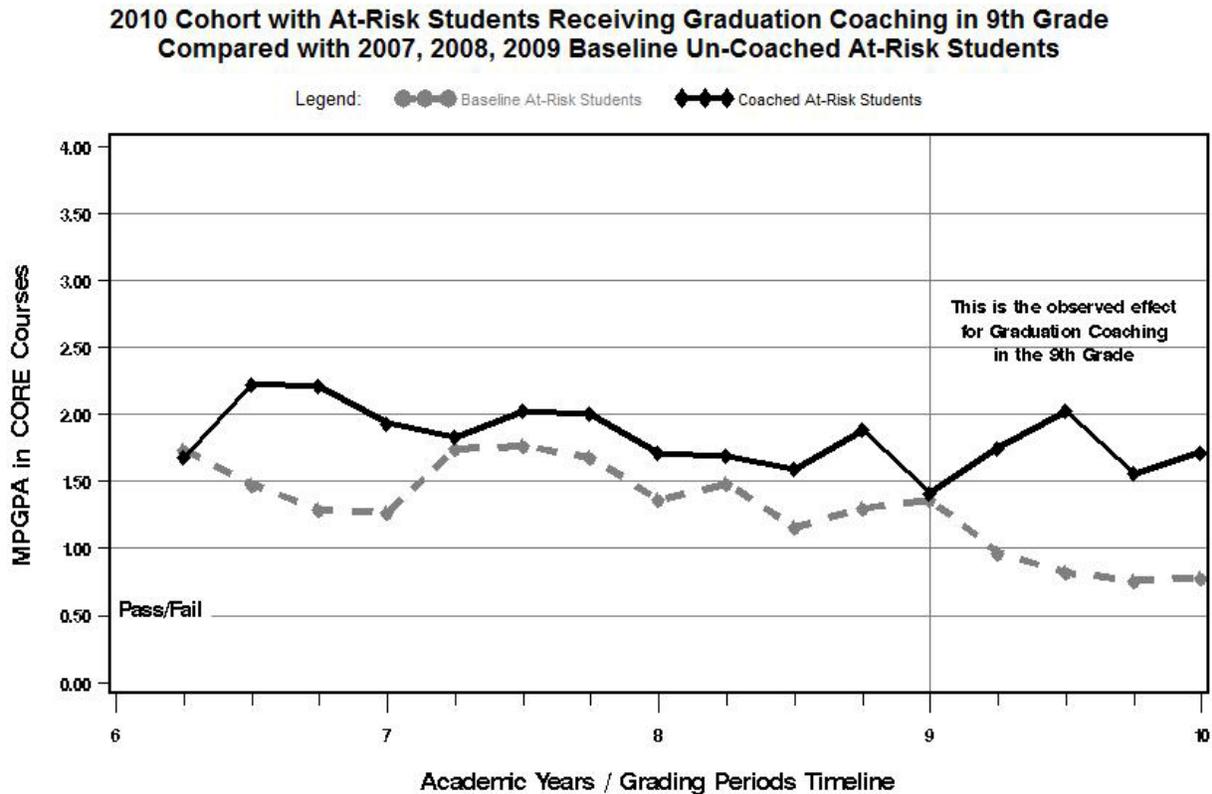
The first research question investigated whether the increasing gap in academic performance between students at-risk and their successful peers can be slowed or reversed by appropriate intensive academic coaching. Figure 2 is a simple means plot that reveals the observed effect of graduation coaching in the 9th grade. The dashed line in this figure shows the progression of 100 baseline study students who were identified at-risk but did not receive this type of intervention. The solid line presents the 32 students in the analysis identified as at-risk who received coaching. The effect of the treatment compared to the baseline is seen during the 9th grade. The COHORT by TIME interaction effect in the statistical model captures the central hypothesis of this study and was statistically significant (Wald $\chi^2_{df=15} = 150.33$, $p < .0001$). As a group, students classified at-risk who receive graduation coaching appear to earn significantly better grade point averages in core coursework by end of 9th grade than do similar students who do not receive coaching services.

Two additional specific planned contrasts provide more specific analytical tests. One of these answered research question two and looked directly at the COHORT by TIME interaction effect beginning at the start of 9th grade between middle school and high school. This also was highly significant ($\chi^2_{df=1} = 17.54$, $p < .0001$) and showed (1) that the trends for at-risk students who were coached and those who were not coached go in different directions and (2) that this effect begins at the onset of coaching. The other planned contrast answered research question three by examining the average post-intervention academic performance difference between current and baseline at-risk students during the 9th grade. Coached students performed almost an entire letter grade better than expected based on comparative student results from baseline studies ($\chi^2_{df=1} = 28.74$, $p < .0001$). Well over 50% of the treatment students, *all of whom were predicted to fail*, actually succeeded well enough to progress to the next grade level.

Summary and Conclusions

In summary, the goals posed in the perspectives section were answered positively by this study. A reliable and longitudinal data analysis and classification procedure was demonstrated. Moreover, students who were identified as at-risk using this procedure did benefit academically as a group from graduation coaching. In this study, with a well-designed and implemented graduation coaching program, students selected as part of the coaching caseload did improve their grades, by almost an entire letter grade, in comparison to similar but not coached baseline student groups. Finally, this study demonstrated that graduation coaching can be as successful, if not more so, in a rural setting compared to the published results obtained with similar models in urban settings.

Figure 2: Means plot and statistical results comparing academic performance trajectories.



The ARS reporting system and other checks and balances insured that the graduation coaching program was implemented with high fidelity at the high school. With the exception of a few minor challenges, the program continued throughout the school year and reached the students on the targeted caseload. The coach used the instruments developed for data collection and monitoring for purposes of intervention planning and implementation. Student records were reviewed; plans were written; and students, school personnel, parents and others were contacted on behalf of the student. Based on the fidelity and process measures, there is compelling evidence to believe the academic gains observed were a result of the graduation coaching program.

Several of the students in the caseload continued to perform poorly in spite of the intervention. This appeared to have been a result of personal crises well beyond the scope and capability of graduation coaching support services. The coach continued to assist these students, although minimally, and in almost every case referred them to counseling or to social work programs.

Replicability: This intervention extends a similar GEAR UP Learning Centers intervention designed for and implemented in urban middle and high schools through the use of data-driven classification, visualization, and monitoring tools. Graduation coaching has been conducted in other schools around the country, for instance in Georgia (Cox, 2009). The clearly defined and executed graduation coaching program utilized here includes methodology and instruments for documentation of process and findings. For example, the ARS has proven highly effective as a tool for focusing on intervention strengths and weaknesses and improving program

administration. The data visualization and monitoring system has produced changes in the ways in which schools assess, intervene, and support students. Coaching is a resource and staff intensive process but the data visualization and student identification tools discussed here are scalable to larger schools and school districts for caseload selection, diagnostic coaching and counseling, and comparative evaluation of intervention effects.

Lessons Learned: While the graduation coaching interventions and evaluation tools have evolved over several years, there remains room for small and large improvements. For example, making time within the coach's schedules for home visitations whenever necessary or possible would be especially beneficial for those parents who do not have telephones. More generally, involvement and cooperation of school personnel, outside agencies, tutoring programs in the school, etc., are crucial to program success. Data collected throughout this study showed that the number of student contacts proposed was unrealistic. Activities such as test preparation, testing weeks, detention times, etc., have to be factored into the intervention plan. Downtime during holidays has to be considered too. The team also learned that graduation coaching may not be enough to help students overcome academic problems, because of the complexity of personal and behavioral problems.

Graduation coaching is a resource intensive service provided to students for their benefit. Without cooperation from the students and a desire to do better in school, the intervention will be less than fully effective. The intervention is strengthened with parental involvement and support. Furthermore, especially in larger schools, there are usually many more students identified at-risk than there are resources for services like graduation coaching. With limited resources, the selection process should be well-defined and reviewed on a regular basis to ensure equity within the program. Using the caseload identification tools provided by this study, school personnel can apply professional judgment to determine which students are most likely to benefit from this type of intervention and those who should be referred to other programs or retained on an academic watch list. It should be explicit to all concerned that coaching may not be the right support service for all students. Students who are unmotivated or otherwise not responding to coaching with a reasonable period of time, say, two quarters, may be removed from the caseload and referred to a program that would be more beneficial.

The evaluation of the coaching program provided information to the schools that identified categories of at-risk students and compared them to their more successful peers. Selected students were assigned to the coach caseload and received services designed to support academic achievement that were documented through a variety of methods. Students, teachers, parents, and administrators were apprised of all details regarding student performance. Communication and collaboration between all parties involved was crucial to improving the academic environment for students at-risk of failing, and formative evaluation and data-driven decision making were key to improvement of programs and services in this study.

References

- AEA Multi-paper session: Data feedback loops in educational: Intervention process and student progress monitoring data visualization tools and procedures. (Nov, 2011) Anaheim, CA:
- #1 Carpenter, S. & Zeller, P. (Nov, 2011). The continuous improvement process (CIP) model applied to educational intervention projects.
 - #2 Zeller, P. & Carpenter, S. (Nov, 2011). Formative evaluation tools for assessing school intervention processes.
 - #3 Lacefield, W.E. & Applegate, E.B. (Nov, 2011). Modeling and visualizing student performance data: Academics and behaviors.
 - #4 Applegate, E.B. & Lacefield, W.E. (Nov, 2011). Analytical basis for modeling of student performance data: Validity, automation, updating, and interactive evaluation processes.
- Carpenter, S. & Zeller, P. (July, 2011). Graduation coaching in a rural school setting. Annual conference of GEAR UP, San Francisco, CA.
- CEPI Michigan Student Data System (2010). State of Michigan, Center for Educational Performance. <http://www.michigan.gov/cepi>
- Cobb, P. (1996). Where is the mind? A coordination of socio-cultural and cognitive constructivist perspectives. In Fosnot (ed.) Constructivism: Theory, perspectives and practice. New York: Teachers College Press, 34-52.
- Cox, K. (2009). Georgia Graduation Coach Initiative: 2008-2009 Report. Georgia Department of Education.
- Gergen, K.J. (2001). Psychological science in a post-modern context. *American Psychologist*, 56(10), 803-813.
- Lacefield, W.E., Applegate, E.B., Zeller, P., & Carpenter, S. (April, 2012). Tracking students' academic progress in data rich but analytically poor environments. AERA, Vancouver, BC, Canada.
- Lacefield, W.E., Applegate, E.B., Zeller, P., Van Kannel-Ray, N., & Carpenter, S. (2011). Data driven identification and selection algorithms for at-risk students likely to benefit from high school academic support services. AERA, New Orleans. ERIC ED518121.
- Lacefield, W.E., Zeller, P., & Van Kannel-Ray, N. (2010). Graduation coaching in high-need urban high schools. AERA, Denver, CO, ERIC ED509289.
- Lee, C. (2005). Intervention research based on current views of cognition and learning. In J.E. King (ed.) Black education: A transformative research and action agenda for the new century. Mahway, NJ: Lawrence Erlbaum Associates, Inc.
- Lewin, P. (1995). The social already inhabits the epistemic: A discussion of Driver, Wood, Cobb, Yackel, von Glasersfeld. In Steffe & Gale (eds.) Constructivism in Education. Hillsdale, New Jersey: Lawrence Erlbaum Associates, Inc., 423-432.
- National High School Center (2007). Easing the transition to high school: Research and best practices designed to support high school learning. http://www.betterhighschools.org/docs/NHSC_TransitionsReport

- Piette, M.S., Fleishman, J.S., Mor, V., & Dill, A. (1990). A comparison of hospital and community case management programs for persons with AIDS. *Medical Care*, 28(8), 746-755.
- Van Kannel-Ray, N., Lacefield, W.E., & Zeller, P. (Mar 25, 2008). Academic case managers: Supporting the educational life of urban middle school students at-risk. AEA, New York, NY.
- Van Kannel-Ray, N., Lacefield, W.E., & Zeller, P. (2008). Academic case managers: Evaluating a middle school intervention for children at-risk. *Journal of Multi-Disciplinary Evaluation*, 5(10), 21-29.
- Van Kannel-Ray, N., Zeller, P., & Lacefield, W.E. (2009). Academic case management: Promising interventions for closing achievement gaps in multicultural urban settings. *ERS Spectrum*, 27(3), 19-30.
- Van Kannel-Ray, N., Zeller, P., Lacefield, W.E. & Applegate, E.B. (Nov, 2009). The evolution of academic case management in multicultural urban schools. AEA, Orlando, FL.